



TECHWEALTH

TECHWEALTH CAPITAL SERVICES PRIVATE LIMITED

*Policy on providing the facility of voluntary freezing/blocking the
Online access of the trading account to clients.*

Techwealth Capital Services Private Limited

CIN: U66120GJ2020PTC115335
SEBI Registration Number - INZ000312335
BSE Member ID - 6822

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Background:

SEBI vide Circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and Exchange Circular no. NSE/INSP/60277 dated January 16, 2024 has issued circular on providing the Facility of voluntary freezing/ blocking of Trading Accounts by Clients”.

As per the said circular trading members are supposed to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients on account of suspicious activities.

Purpose:

The purpose of this Policy is to set out the process to achieve the objective as mentioned above. Major parameters of this Policy are mentioned below:

I. Mode of intimation:

The client willing to freeze/block the on-line access of trading account on account of observance of any suspicious activity or other reason whatsoever, may request for voluntary freezing/ blocking the online access of trading account through following mode.

- a) Email from registered e-mail ID to: connect@thefixedincome.com & subsequently call to Executive on registered no: 079-66828174/75 regarding sending of an e-mail.

The request so received will be verified with our record and an acknowledgement will be issued to client post validation.

The online access of the trading account given to client will be frozen/blocked and also all the pending orders of the said client will get cancelled till that time the account is kept under inactive status/category.

Time period for receipt of request	Resolution Time Period
Request received during the trading/working hours	End of the Day from the date when request received.
Request received after the trading/working hours	End of the Day of next working day.

II. Trading/Working hours shall be as follows:

Debt Segment: 9:30 A.M. to 6:30 P.M.

III. Communication post freezing/blocking on-line access of trading account:

A communication will be sent on the registered mobile number and registered e-mail ID of the client, stating that the online access to the trading account has been frozen/blocked and all the pending orders in the trading account, if any, have been cancelled.

IV. Record Maintenance:

Following records/log w.r.t. request received to freeze/block the online access of trading account be preserved for future use;

Confirmation given for freezing/blocking of the online access of the trading account and Cancellation of pending orders, if any, sent to the clients.

V. Process for re-enabling the client for online access of the trading account:

- Submission of duly signed request letter for online access re-enablement.
- Such Request for re-enablement through registered email id

VI. Effect :

The Client will not be able to place / execute the orders for buying and selling of bonds unless and until such account get activate after post due diligence.

VII. Effective Date:

This policy is effective from 01-05-2024.

VIII. Review and update:

This policy shall be reviewed and updated on an annual basis or as and when there are any changes introduced by any statutory authority.

Disclaimer:

TeCSPL has a discretion to alter/change any of close out parameters defined in this policy on the basis of prevailing market conditions, considering the dynamics of operations, business plans, and strategy of managements from time to time, and/or any risk perception with or without prior intimation and can use their discretion to grant any kind of exemptions/permissions in case they deem fit on case to case basis. In the time of extreme volatility or major impending event which might trigger such volatility, TeCSPL reserves the right to withdraw the same. The company may modify or amend any of these rules without prior notice. The amended policy will be uploaded on the website of TeCSPL from time to time.